PTO/SB/21 (05-03) JAN 2 3 2004 Approved for use through 04/30/2003. OMS 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to ction of information unless it displays a valid OMB control number. Application Number 09/529,482 Filing Date TRANSMITTAL October 12, 1998 (IA filing date) **FORM** First Named Inventor Robert J. Watts Art Unit (to be used for all correspondence after initial filing) 3624 **Examiner Name** L.M. Hamilton **Attorney Docket Number** 26 DYC-011 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication X × Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board X Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group × Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement RECEIVED
FEB 0 3 2004 Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application IGROUP 3600 Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm FEB 0 6 2004 Thomas Schneck Individual name Signature TECHNOLOGY CENTER R3700 Date 01/20/2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Sally Azevedo

Signature

Sally Azevedo

Date 01/20/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) en application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 04/30/2003, ONG 0651-0323 U.S. Peters and Trademark Office U.S. DEPARTMENT OF COMMERCE TRANSMITTAL ### Complete If Known Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 475.00 ### Complete If Characteristics Applicant claims small entity status. See 37 CFR 1.27 Ant Unit 3524 Antonney Docket No. DYC-011 FEB I 8/2004 Antonney Docket		.								
Approved for use through Ad307032 U.S. Patent and Trademark Office; U.S. DPARTMENT OF COMMERCE Free Paid Continues the Commerce of the Commerc	Ó	IPE				-				
Under Interferomond Reduction Act of 1995. no paginas any required to respond to a Deletion of Information Units at User a valid DMB control number. Complete If Known Application Number Robert J. 1998 (IA filing date) First Named Inventor Robert J. Watts Examiner Name L.M. Hamilton RECEIVED Attorney Docket No. DYC-011 FEB I 82004 METHOD OF PAYMENT (check all that apply) Check Credit card Monary Other None Coder Deposit Account: Deposit Account: Deposit Charge fee(s) Indicated below Schneck Charge fee(s) Indicated below Schneck Charge fee(s) Indicated below Schneck of the sub- Charge fee(s) Indicated below Schnecy of this application FEE CALCULATION 152 193 203 205 25 Surcharge - late filing fee or coath 1604 750 207 Requesting publication of SIR prior to Examine action FEE CALCULATION 125 190 207 225 985 Extension for reply within frox month 1605 18,007 1807 Substitute a public use of nonth 1605 180 200 380 Part filing fee 1605 1807 1807 Sequesting publication of SIR prior to Examine action 1605 1807 Sequesting publication of SIR prior to Examine action 1605 1807 Sequesting publication of SIR prior to Examine action 1605 1807 Sequesting publication of SIR prior to Examine action 1605 1807 Sequesting publication of SIR prior to SiR prior	_								•	
Complete if Known Application Number Code	H	2 2004 1 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE								
## Application Number C9/529.482 Filing Date Cotober 12, 1998 (IA filing date) First Named Inventor Robert J. Watts Examiner Name L.M. Hamilton	4	Under Inter sperwork Reduction Act of 1895, no persons are requ		Complete if Known						
Filing Date	EE IRANSMIIIA			00/000 400					l	
Applicant claims small entity status. See 37 CFR 1.27 Attimity Deposit Annual Provision. Attimity Deposit Annual Provision Annual Prov	for EV 2002		ı			October 12, 1998 (IA filing date)			ĺ	
Examiner Name L.M. Hamilton L.M. Hamilto			. [First I	Named Inventor	Robert J. Watts			}	
Account Check Credit card Money Other None Order Other Deposit Account Number Deposit Account Charge ene additional fee other Definition Deposit Account Account Charge ene additional fee other				Exam	iner Name	L.M. Hamilton	RF(CFIV	ľF	
METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued POUP 3600 Check Credit card Money Other None Order Other			[Art U	nit	3624				
Check Credit card None Other None Order Deposit	1	TOTAL AMOUNT OF PAYMENT (\$) 475.00		Attorr		<u> </u>				•
Check Credit card None Other None Order Deposit		METHOD OF PAYMENT (check all that apply)			FEE CA	LCULATION (continued)		LID 4	ba	חח
Deposit Account Number Deposit 19-0590 19-0590 1051 130 2051 85 Surcharge - late filing fee or cath 1052 50 2052 25 Surcharge - late filing fee or cath 1052 50 2053 130 1053 130		Check ☐ Credit card ☐ Money ☐ Other ☐ None			ONAL FEES			OI (PU	
Deposit Account Number Deposit Account Number Deposit Account Number Deposit Account Number The Director is authorized to: (check all that apply) Charge fee(s) indicated below		Deposit Account:				Eas Donadation				
Deposit Account Accoun			Code	(\$)	Code (\$)	•	Æ	ee Paid		
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Coredit any overpayments Charge fee(s) indicated below Coredit any overpayments Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description 1. BOS 1,840° Requesting publication of SIR after Examiner action 1. Extra Claims 1003 303 2002 165 Design filing fee 1004 750 2004 375 Relssue filing fee 1005 160 2005 80 Provisional filing fee 1005 1,300 2005 80 Provisional filing fee 1005 80 2005 80 Provisional filing fee 1005 80 2005 80 Provisiona		Number					<u>_</u> -			
The Director is authorized to: (check all that apply) Charge fee(a) indicated below C redit any overpayments		Account Schneck & Schneck			cover	r sheet	~ }			
Charge fee(s) indicated below wexept for the filing fee to the above-identified deposit account. 1804 920 1804 920 1805 1,840					1	• '	mination			
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account. Tell Claims Fee Fee Fee Fee Fee Fee Fee Fee Fee F			1804		1804 920° Requ	uesting publication of SIR prior to				
to the above-identified deposit account. Tell Claims Fee For Utility And Reissue Fee Paid Subtrotal (1) (\$) 0.00				1 840*			t			
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Pald 1252 410 1252 410 1252 205 Extension for reply within second month 1253 930 1254 1.450 1254 1.450 1255 1.970 1251 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1255 1.970 1250 1.00 Notice of Appeal 1401 320 1401 160 Notice of Appeal 1402 320 1403 1280 1403 1280 1405 1.510 Petition to institute a public use proceeding 1451 1.510 Petition to revive - unavoidable 1451 1.510 Petition to revive - unavoidable 1501 1.300 1502 470 1502 470 1502 470 1502 470 1502 470 1503 630 1503 630 1503 630 1503 630 1503 630 1503 630 1503 130 Petitions to the Commissioner 1504 1.00 1505 1.00 1506 1.00 1507 50 Processing fee under 37 CFR 1.17(q)					Exan	miner action	}			
1. BASIC FILING FEE Large Entity Small Entity Fee		FEE CALCULATION								
Total Claims 25 - 2.7 = 0 X Selection Select			1			• •	- 14	475.00		
1001 750 2001 375 Utility filing fee 1255 1,970 1255 1,970 1255 1,970 1202 330 2002 165 Design filing fee 1401 320 2401 160 Notice of Appeal 1402 320 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,300 2453 650 Petition to revive - unintentional 1501 1,300 2501 650 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant Issue fee 1503 630 1460 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50		Fee Fee Fee Fee Description Fee Paid	1254	1,450		• •				
1003 520 2003 260 Plant filing fee 1403 280 2402 160 Filing a brief in support of an appeal 1403 280 2403 140 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable 1453 1,300 2453 850 Petition to revive - unintentional 1501 1,300 250 235 Design issue fee 1503 630 2503 315 Plant Issue fee 1503 630 2503 315 Plant Issue fee 1503 630 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q)			1255	1,970	2255 985 Exte	ension for reply within fifth month	·			
1004 750 2004 375 Relssue filing fee 1403 280 2403 140 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1451 1,510 Petition to revive - unavoidable 1453 1,300 2453 850 Petition to revive - unintentional 1501 1,300 2501 1,300 2502 235 Design issue fee 1503 630 2503 315 Plant Issue fee 1503 630 1460 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Processing fee under 37 CFR 1.17(q) 1408 Request for oral hearing 1451 1,510 Petition to institute a public use proceeding 1451 1,510 Petition to revive - unavoidable 1453 1,300 2453 850 Petition to revive - unintentional 1501 1,300 2501 1,300 2502 235 Design issue fee 1503 630 2503 315 Plant Issue fee 1503 630 1460 130 Petitions to the Commissioner 1807 50 Processing fee under 37 CFR 1.17(q) 1807 50 Processing fee under 37 CFR 1.17(q) 1407 1407 1407 1407 1407 1407 1407 1407	1	1002 330 2002 165 Design filing fee				• •	⊢			
1005 160 2005 80 Provisional filing fee 1451 1.510 1451 1.51	ļ				1	- ''	t	一一		
SUBTOTAL (1) (\$) 0.00	Ì		1				eedina	Ĭ.	L	\mathbf{Z}
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from below Fee Pald 1502 470 1503 630 2503 315 Plant issue fee Total Claims 25 - 2.7 = 0 X =			1	•	1	· · · · · · · · · · · · · · · · · · ·		_ I:	Ħ	Ш
1501 1,300 2501 650 Utility issue fee (or reissue) 1502 470 2502 235 Design issue fee 1503 630 2503 315 Plant issue fee 1503 1460 130			1453	1,300	2453 650 Petit	tion to revive - unintentional	- 1	<u> </u>	5	\mathbf{C}
Total Claims 25 -27 = 0 X =		Fee from	•			• • •		_ O _ J	þ	Ш
Independent 2 - 3** = 0 × 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 Processing fee under 37 CFR 1.17(q)						-			B	\leq
Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q)		Independent 2 3** = 0 Y					ŀ	- 30	₽	皿
Lama Sallhu A. Gurall Fullhu		Multiple Dependent			1		_{a)} [U
Fee Fee Fee Fee Fee Pescription 1806 180 Submission of Information Disclosure Stmt		Large Entity Small Entity	1806	180	1806 180 Subn	mission of Information Disclosure	e Stmt	8		
Code (\$) Code (\$) 8021 40 8021 40 Recording each patent assignment per properly (times number of properties)		Code (\$) Code (\$)	8021	40	8021 40 Reco	ording each patent assignment perty (times number of properties	per s)		l	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a))			1809	750	2809 375 Filing	g a submission after final rejection			l	
1203 280 2203 140 Multiple dependent claim, if not paid 1810 750 2810 375 For each additional invention to be	Ì		1810	750	2810 375 For e	each additional invention to be	<u> </u>		1	
1204 84 2204 42 ** Reissue independent claims examined (37 CFR 1.129(b))					exan	mined (37 CFR 1.129(b))	, recei		1	
1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination		1205 18 2205 9 ** Reissue claims in excess of 20	1		1802 900 Red	quest for expedited examination	· · ·		1	
and over original patent of a design application		and over original patent	Othe	r foe lor		design application	-		1	
SUBTOTAL (2) (\$) 0.00 **or number previously paid, if greater, For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 475.00	į					aid SUBTOTAL (3)	\$) 475.0	-		

SUBMITTED BY

Name (Prin/Type)

Thomas Schneck

Registration No. | 24,518 | Telephone | 408/297-9733 |
Signature

| Name (Prin/Type) | Thomas Schneck | Registration No. | (Alternat/Acent) | 24,518 | Telephone | 408/297-9733 |
Dele | 01/20/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert J. Watts

PATENT APPLICATION

Serial No.: 09/529,482

Group Art Unit: 3624

Filed: October 12, 1998 (IA filing date)

Examiner: L.M. Hamilton

For: ANKLE-FOOT ORTHOSIS

Letter to Chief Draftsperson

Hon. Commissioner for Patents Alexandria, VA 22313

Sir:

Applicant in the above-identified patent application proposes to amend Figs. 1a, 1b, 2a, and 2b to include the words "PRIOR ART".

The proposed changes are indicated in red ink on the enclosed copy of the drawing.

Page 5, lines 14-17, of the specification provide support for this amendment.

Approval of the proposed amendment is respectfully requested.

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313)

Signed: Sally Azevedo

Sally Azevedo

Typed Name: Sally Azevedo

Date: January 20, 2004

Respectfully submitted,

Gina McCarthy

Req. No. 42,986

RECEIVED

FEB 1 8 2004

GROUP 3600

P.O. Box 2-E

San Jose, CA 95109-0005

(408) 297-9733